EXPERIMENTS AND AGENT BASED MODELS OF ZOOPLANKTON MOVEMENT WITHIN COMPLEX FLOW ENVIRONMENTS

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ABSTRACT

The movement of plankton is often dictated by local flow patterns, particularly during storms and in environments with strong flows. Reefs, macrophyte beds, and other immersed structures can provide shelter against washout and drastically alter the distributions of plankton as these structures redirect and slow the flows through them. Advection diffusion and agent based models are often used to describe the movement of plankton within marine and fresh water environments and across multiple scales. Experimental validation of such models of plankton movement within complex flow environments is challenging because of the difference in both time and spatial scales. Organisms on the scale of 1 mm or less swim by beating their appendages on the order of 1 Hz and are advected meters to kilometers over days, weeks and months. In this paper, we combine experiments, computational fluid dynamics and agent-based modeling to quantify plankton movement at the scale
The term plankton refers to the body of passively floating or weakly motile organisms in a natural water body and is chiefly made up of phytoplankton, zooplankton, bacteria, viruses, as well as the larvae of many marine invertebrates and fish that inhabit open water. Plankton form the first link in the food chain in both aquatic and marine systems and thus directly affect the health and existence of fish, shellfish, and larger animals. Furthermore, some planktonic organisms can have an increased fouling rate, marine animal mortality, low dissolved oxygen, and food web disturbance. Whether plankton are performing a positive or negative role in the food chain, the underlying mechanisms of their movement are poorly understood. Both physical and biological mechanisms affect the movement of planktonic organisms and the dispersal of aquatic organisms can be mostly active, mostly passive, or a mixture of the two.

Mathematical models of plankton movement typically assume that the organisms either 1) go passively with the flow (like tracer particles) or 2) have an additional random motion that can be added to the background flow velocity to represent turbulence or small, directed movements. Robust and predictive models of plankton movement are difficult to obtain given their small size (< 1 mm) in comparison to the relatively large size of their environments (on the order of meters to thousands of kilometers). Furthermore, it is well known that environmental cues and local structures in the flow environment can drastically alter plankton distributions, but the incorporation of these high order effects into mathematical models has been limited. For example, larval and other planktonic organisms can bias their distributions using environmental cues such as light, chemical gradients, gravity, and shear. To better understand and predict the movement of plankton, we need to understand how flow and other environmental signals interact with zooplankton behavior to influence their distributions. This will likely involve determining the level of granularity needed to represent flow fields, such as whether or not smaller scale obstacles like macrophytes need to be incorporated into flow models; 2) developing computational methods for incorporating zooplankton movement and behavior; and 3) using these methods to determine plankton distributions.

Of particular relevance to this paper is the role that submerged macrophytes play in altering plankton movement. Macrophytes provide organisms with shelter from flows across multiple scales, from emergent mangroves on the scale of meters to thousands of kilometers. Furthermore, it is well known that environmental cues and local structures in the flow environment can drastically alter plankton distribution fields and behavior were simplified.

Keywords: Agent based model · Zooplankton · Computational fluid dynamics

1 Introduction

The presence of vegetation in a flow domain serves to decrease momentum (or inertial effects) of the fluid, increase the ability of microorganisms to survive within these habitats by preventing wash out. The overall effect of the vegetation on the flow differs depending on several factors, including the density of the bed structure, the height of the plant canopy, and the heterogeneity of the vegetation within the structure. Macrophytes provide organisms with shelter against storm flows greater than 1 m/s to submerged sea grass on the order of centimeters that grow in estuaries and bays with slow flows on the order of 1-10 mm/s. The physical behavior of the flow is altered as fluid passes through the vegetated region since the structure creates resistance, impeding the flow. The presence of vegetation in a flow domain serves to decrease momentum (or inertial effects) of the fluid, increase disturbance to the flow, and possibly change the flow from laminar to turbulent. Vegetative structures also potentially increase the ability of microorganisms to survive within these habitats by preventing wash out. The overall effect of the vegetation on the flow differs depending on several factors, including the density of the bed structure, the height of the plant canopy, and the heterogeneity of the vegetation within the structure.

Simplified analytical models and computational fluid dynamics have previously been used to understand how larger scale vegetation shelters organisms and prevents erosion in strong flows storms. Similarly, smaller scale plants have an important fluid dynamic role by altering local fluid dynamics, sheltering organisms at the microscale, and altering mixing dynamics in the benthos. At the canopy scale, a standard approach for modeling fluid momentum within a vegetated region decomposes both the velocity and pressure using time-averaged components and associated deviations. At the smaller scale, detailed spatial and temporal aspects of the flow can be...
quantified using measurements taken in a flume or computational fluid dynamics \[37, 38, 39\]. In this paper, we focus our attention on the role of centimeter scale submerged macrophytes in altering flow environments on the order of 1-10 cm/s and the subsequent movement of plankton. We focus on biophysical processes at this scale given its tractability as a first step in developing appropriate flow and agent based models.

We use Artenia spp., a genus of saltwater plankton commonly known as brine shrimp, to experimentally quantify how the presence, height, and density of simplified macrophytes alter their distributions in flow. Brine shrimp, also known as sea monkeys, serve as an excellent model organism given their availability and ease of culturing [40]. Experimentally derived ‘diffusion’ coefficients describing the random active movements of newly hatched nauplii have been determined previously by Kohler et al. [40]. This organismal system can also be used to develop behavioral models such as movement towards light or a concentration gradient. Behavioral models can then be incorporated into flow models to determine the impact of behavior on distributions and dispersal rates. As a first attempt to mathematically describe and experimentally quantify the movement of plankton in sheltering structures, we inject newly hatched nauplii into physical models of macrophyte beds that have been immersed in a flow tank. The concentrations of brine shrimp are tracked over time in two regions downstream of the physical model. The flows within and around the physical model are determined numerically and validated experimentally using particle image velocimetry.

As we resolve the fluid dynamic models of individual plankton and their basic locomotory characteristics, we then use these results to specify agent-based models in order to explore emergent patterns or behaviors in the population as a whole. Agent-based models (or individual-based models) are models in which individuals are described as autonomous entities which can then interact with each other and/or their environment. They have adaptive behavior which can include continual adjustments to changes in their environment, the presence and behavior of other agents, or to their own internal states [41]. These models are typically stochastic in nature and are particularly well suited to the task of exploring how simple, single-organism dynamics can give rise to population-level, aggregate phenomena. For this case study, we develop an agent-based model that assumes the brine shrimp move at the local fluid velocity and with some additional random motion representing active swimming. The distributions of brine shrimp over time predicted by the agent based model are then reported.

The use of this modeling framework and associated software released with this paper extends well beyond the case study considered here. Agent-based models enjoy widespread use across a variety of ecological contexts with varying levels of complexity (e.g. \[42, 43, 44, 45\] or see \[46\] for a long list of citations). However from a mathematical perspective, parsimony is critical in forming an agent-based model that can inform theory. When properly formulated in the context of lab or field observations, they can be powerful tools for forging a connection between key individual-level behaviors and macroscopic group properties [47, 48]. This understanding can then be used to form robust mathematical characterizations of population movement.

While a variety of software packages exist that provide comprehensive simulation environments for agent-based modeling \[49\], they tend to be for high-level, general use and are not well suited to the specific challenges of incorporating fluid-structure interaction data for swimmers in continuous 2D or 3D environments. Planktos is an object-oriented modeling environment capable of interfacing with 2D or 3D flow data. Custom agent behavior and response to flow gradients can be tested and visualized both quickly and easily, with 1D analytical flow fields available internally for environmental comparison. Planktos is documented for additional use by other researchers and has been made permanently available as open source software on GitHub.

2 Methods

Here we provide a brief overview of the experimental and theoretical frameworks used to describe the movement of zooplankton in a complex flow environment. Newly hatched Artenia nauplii were injected into physical models of macrophyte beds in a flow tank. The distributions of nauplii over time were tracked at two locations downstream of the physical model. The flow fields were reproduced using computational fluid dynamics and validated experimentally. Agent-based models were used to describe the distributions of nauplii as they were advected with the fluid and moved with an additional random motion that models active swimming. The macrophyte density and height were varied for both the experiments and the simulations.

2.1 Relevant dimensionless numbers

There are a few dimensionless numbers that are useful when analyzing the movement of plankton. The Reynolds number \(Re\) is defined as

\[ Re = \frac{\rho UL}{\mu} = \frac{UL}{\nu}, \]

where \( \rho \) is the density of the fluid, \( \mu \) is the dynamic viscosity, \( U \) is a characteristic velocity (such as the swimming speed), \( L \) is a characteristic length (such as the body length), and \( \nu = \mu / \rho \) is the kinematic fluid viscosity. The Reynolds number can be thought of as the ratio of inertial forces to viscous forces acting in the fluid flow. An example of biological flows where \( Re \gg 1 \) can be seen in the case of fish swimming, while the movement of bacteria by flagella occurs at \( Re \ll 1 \). Low \( Re \) flows such as those experienced by bacteria are reversible, which means that if you drive motion with a boundary then move that boundary back through the same path, the fluid will return to its initial state\[50\]. During ontogeny, Artemia grow across a range of body sizes from hundreds of microns to 1 cm. Newly hatched larva, or nauplii, swim with their two antennae in a motion that is similar to a breaststroke near a Reynolds number of 1, whereas adults row with a series of swimming appendages near a Reynolds number of 40 \[51\] \[52\]. In this study, we use nauplii that are within a few days of hatching such that they swim near \( Re = 1 \).

Another useful dimensionless number is the velocity ratio, \( V_r \), that describes the ability of an organism to swim against a flow and is useful when considering the relative importance of active and passive transport and determining which models of dispersal are appropriate\[19\]. This ratio is given as

\[
V_r = \frac{u_s}{U_{v,h}}
\]

(1)

where \( u_s \) is the organism’s swimming velocity and \( U_{v,h} \) gives the vertical and horizontal velocity components of the background flow, respectively. For larval planktonic organisms, \( Re \) and \( V_r \) are typically on the order of one or less. For larger and/or adult organisms, the interaction between active locomotion and physical transport become more complex. For low values of \( V_r \), passive drifting is the predominant mechanism of dispersal, and organisms are swept along with the background flow. For intermediate and high values of \( V_r \), self-propulsion becomes significant and behavior can greatly influence movement and resulting dispersal patterns. For Artemia, the velocity ratio, \( V_f \) varies from 0.001 to 100, which is calculated using swimming speeds that vary from about 1-10 mm/s with background flow speeds that range from 1-1000 mm/s. These parameters represent conditions ranging from stagnant regions of salt lakes to typical flows plankton would experience in the ocean. In the experiments described here, \( V_r \) ranges from about 0.02 to 10, motivating our agent based model that considers both advection and diffusion.

### 2.2 Macrophyte models

Submerged macrophyte beds were approximated as rigid cylinders arranged in a regular grid and 3D printed for flow tank experiments. For all models, the base consisted of a 7.5 x 15x 0.25 cm rectangular prism, bonded to rigid cylinders that were 0.25 cm in diameter. To vary the density of the macrophyte bed, models were created that contained evenly spaced cylinders in 8x15, 10x20, and 15x30 arrangements. Note that the longest dimension was in the direction of flow. In addition, models of each density were constructed with uniform cylinder heights of 1, 2, and 3 cm for a total of 9 models. The models were designed as one component in Autodesk Fusion 360 and saved in stl format. Using stl files, models were 3D printed on uPrint SE Plus, using ABS as build material, at the UNC Makerspace. The models used for particle image velocimetry were lightly spray painted black.

### 2.3 Flow Tank Set Up

For the flow experiments, the physical models of submerged macrophyte beds were placed at the center of the bottom of an optically accessible 8x8x1.00 cm plexiglass flow tank that was custom built based upon Vogel and LaBarbera\[53\] (see Figure[1]). The models were held in place using marine putty as if submerged and rooted. Two Artemia sieves were placed at far upstream and downstream from the model in order to prevent feeding the injected organisms back into the system. The dimensions of the sieves were 8.64 x 7.87 x 4.45 cm with 180 micron mesh size. A black background with thickness less than a millimeter was placed in the flow tank to enhance the contrast between the models. A black background was used to reduce reflection and shadowing of the particle image velocimetry. The models were held in place using marine putty as if submerged and rooted. Two Artemia sieves were placed at far upstream and downstream from the model in order to prevent feeding the injected organisms back into the system. The dimensions of the sieves were 8.64 x 7.87 x 4.45 cm with 180 micron mesh size. A black background with thickness less than a millimeter was placed in the flow tank to enhance the contrast between the Artemia nauplii and the background. The background included a grid with 2x2 cm squares to facilitate tracking. Constant flow was generated by a propeller attached to a variable speed motor, and the flow within the empty channel had a maximum average velocity of 4.4 cm/s. The flow tank was filled with sea water of 35 ppt salinity, and the height of the water was kept at 7.5 cm to prevent overflowing when the propeller was in action.

### 2.4 Measurements of flow

The temperature of the salt water in the flow tank was about 20 °C such that its density was assumed to be \( \rho = 1025 \text{kg/m}^3 \) with a dynamic viscosity \( \mu = 0.00108 \text{Ns/m}^2 \). A parabolic profile was used to set the inflow conditions for the computations, and the volumetric flow rate was matched to that measured experimentally for the case of no model. This resulted in a maximum inflow velocity of 0.0444 m/s. Setting the characteristic velocity to this maximum value, \( U = 0.0444 \text{m/s} \), one can calculate \( Re \) at the scale of the individual cylinders and at the scale of the macrophyte bed. Using a characteristic length equal to the height of the cylinders, \( h = 0.01 - 0.03 \text{m} \), the bed-based \( Re \) ranged
Figure 1: Experimental set up of submerged macrophyte models in the flow tank. a) The view of flow tank setup from side; b) the view of flow tank from above. Flow tank is 100 cm (L) x 8 cm (W) x 8cm (H).

from about 421-1264. Using the diameter of the cylinders, \( D = 0.0025 \text{m} \), the cylinder based \( Re \) was about 105. This range of \( Re \) is reasonable for using direct numerical simulation of the Navier-Stokes equations to obtain the flows around and over the macrophyte beds.

2.4.1 Particle Image Velocimetry

The fluid velocities within the flow tank were obtained using 2D particle image velocimetry (PIV) and used for validation of the numerical simulations. PIV is a non-intrusive technique used to obtain instantaneous and spatially resolved information on a flow field by recording the single or multiple exposed images of passive tracer particles suspended in the fluid. In general, a monochromatic coherent light source is used to illuminate these small seeding particles, and the positions of the particles are recorded at set time intervals. The displacement \((\Delta x, \Delta y)\) of a single particle or group of particles is deduced from correlation-based post processing of these images. A map of the velocity of motion of the tracers in the flow field can thus be obtained using \((\Delta x/\Delta t, \Delta y/\Delta t)\). For more information on this technique, please refer to Raffel et al. [54] and Willert and Gharib [55].

In this work, the laser sheet was generated by a 1 W Nd:YAG, Diode Pumped Solid State Laser (DPSS) laser manufactured by LaVision GmbH, which emits light at a wavelength of 532 nm with a maximum modulation of 10 kHz. The laser beam was converted into a planar sheet approximately 3 mm thick using a set of focusing optics. Uniform seeding of the fluid was accomplished by inserting 10 micron glass hollow spheres (LaVision GmbH) into the flow tank and mixing to achieve a near homogeneous distribution prior to each experiment. A Photron FASTCAM SA3 high-speed camera with Tokina Macro 100 F2.8 D lens attached was used for capturing images with a 1024 x 1024 resolution at 500 Hz for 1 second. The spatial resolution was 0.017 mm per pixel. All frames were pre-processed in order to remove glares and unwanted brightness in images. To do this, local minimum of series were subtracted from each frame. Then, the cylinder array and regions outside the flow were masked before analyzing images in Davis 8.0 (LaVision). Velocity vectors were calculated using cross-correlation, multi-pass iterations with 2 passes. The size of the interrogation windows was set to 64 x 64 and 32 x 32 pixels with 50% overlap, respectively. The resulting flow fields were post-processed using a non-linear filter to remove faulty vectors. Finally, the velocity fields of the entire image set were averaged to get the average velocity profiles.

For validation of numerical simulations, PIV measurements were taken in an empty tank and in the tank with the 10x20 2cm macrophyte model. Flow velocities within two different planes tangential to the flow were measured. The first plane passed between the middle two cylinders rows. The second plane passed through the center of the cylinders in the middle of the model. Figure 2 shows the position of laser sheet for these two scenarios.
Figure 2: Position of laser sheet for different models. Light and dark green lines denote the position of the laser at different times. The vertical dashed-dotted line is the y-axis. Dashed-dotted horizontal lines represent the x-axis for each setup. Red dashed-lines denote the beginning and the end the region that the camera can capture for PIV. The dark blue arrow shows the direction of flow during PIV measurements.

Note that the camera has difficulty resolving the flow field within the model due to the narrow gap between cylinders and the fact that the model is optically inaccessible. However, PIV measurements still produce sufficiently detailed information about the flow field for comparison with numerical simulations since we compare the regions that are optically accessible.

2.4.2 Computational Fluid Dynamics

COMSOL Multiphysics 4.5 was used to mesh the fluid domain and solve the steady Navier-Stokes equations. The single-phase laminar flow physics solver was used to perform a stationary study (e.g. $\partial u / \partial t = 0$). The fluid domain had dimensions of 80 x 320 x 80 mm, similar to the actual flow tank. The density and dynamic viscosity of the fluid was set to $\rho = 1025 \text{ kg/m}^3$ with a dynamic viscosity $\mu = 0.00108$, within the range of typical sea water. The entrance of the domain was given an inlet boundary condition of parabolic flow with a maximum velocity of 44.4 mm/s in the center of the domain, unless otherwise noted. The back wall was given a zero pressure outlet condition. All other walls used a no-slip boundary condition. A free tetrahedral mesh of normal resolution calibrated for fluid dynamics was used to discretize the fluid domain (see Figure 3).

The same .stl files used for 3D printing were used for the COMSOL simulations. These models were positioned at the bottom of the computational flow tank and in the middle of the domain. The steady Navier Stokes equations were solved in the rectangular domain with the model region subtracted. No slip conditions were employed on the model boundaries and sides of the domain. Note that for the simulations without a model, the plate without cylinders was positioned in the bottom of the tank.
2.5 Dispersal experiments

The concentration of plankton under steady background flow in the presence and absence of macrophyte models was recorded at spatial locations downstream of the models. Experiments were repeated three times for each model (including the no model case), for a total of 30 experiments. The video recordings were processed to quantify dispersal patterns as a function of macrophyte density and height. For each scenario, the number of plankton measured in each experiment were added together to obtain a combined distribution.

2.5.1 Preparation of Artemia larvae

One gram of Artemia cysts (Grade A Brine Shrimp Eggs, Brine Shrimp Direct) were hatched in one liter sea water with a concentration of 35 ppt. After 48 hours, nauplii were separated from empty shells by filtering the hatching water using a 180 micron Artemia sieve. The nauplii were transferred into a 2L beaker filled with fresh sea water at 35 ppt. Note that the filtering process did not completely separate empty shells, and a negligible amount of shells and unhatched eggs were present in the final mixture.

2.5.2 Injection of brine shrimp into the flow tank

Before each experiment, 0.5 liters of salt water with Artemia was filtered and concentrated into a 40 ml solution. As a result of the concentration process, organisms were introduced into the flow as a dense patch with minimal flow disturbance. After each experiment, unused Artemia were returned into the 2L beaker and given sufficient time (≈ 10 minutes) to recover. This procedure was put in place to ensure that the nauplii were active during the experiments.

Larvae were placed in the flow tank using a 1 ml injector with a modified tip as shown in Figure 4(a,b). The injector was designed to minimize flow disturbance when submerged in the tank. Before each injection, 0.1 ml of air was pipetted before taking up 0.2 ml of the nauplii and salt water. This initial bubble was used to signal when the injection was complete. Finally, 0.1 ml of air was pipetted to serve as a seal to prevent the early release of nauplii into the flow. Between each experiment, the mouth of the injector was gently washed with salt water to remove any remaining larvae or eggs. All injections were performed at the center of the flow tank in the region directly upstream of the model and above the base plate as shown in Figure 4(c). The average injection speed was 33.31 mm/s with 11.89 mm/s standard deviation and 32.97 mm/s median for 30 trials.
2.5.3 Recording dispersal of plankton

Brine shrimp nauplii were recorded using three Sony Alpha 6300 cameras with 16–50 mm stock lenses. One camera was used to record the injection of larvae and their initial movement through the macrophyte model. The concentration of brine shrimp directly downstream of the macrophyte model was recorded using the remaining two cameras. One camera was placed immediately after the model to record the nauplii within a region named the "Green Zone", and the other camera was placed 15 cm downstream of the model, and this region was named the "Blue Zone". Each zone consists of eight 2 cm x 2 cm cells, making each zone 4 cm (W) x 8 cm (H). All recordings were taken at 30 fps with 4K resolution. The shutter speed was set to 1/640 s.

In order to resolve individual brine shrimp in a relatively large volume of fluid, significant attention was given to lighting. One Flashpoint FPLCL1144R LED Circular Diffuser and one Fositan TL-336AS LED Video Light with custom diffuser were used to evenly illuminate the volume of water in the flow tank. The circular diffuser was set to maximum intensity and placed above the flow tank right after the model. The Fositan LED panel was also set to maximum intensity and placed below the flow tank. A plain white piece of paper was used to cover the Fositan LED panel to act as a diffuser.

2.5.4 Processing recordings

Recordings from the camera positioned upstream of the macrophyte model were used to determine the injection velocity of the brine shrimp solution. In this case, it was assumed that the brine shrimp act as passive tracers that move at the local fluid velocity. The recordings from the cameras placed downstream were then used to determine the number of nauplii over time in the green and blue zones. Videos were played frame by frame using Quicktime Player 7.0. Each zone consisted of eight 2 x 2 cm squares. The organisms in each square were counted at 0.5 second intervals and recorded into an Excel spreadsheet for further processing. To improve the precision of counting the nauplii, a screenshot of the region of interest was taken and each organism was marked as it was counted using Adobe Photoshop CC (Adobe Photoshop CC Version 20.0.6, Adobe Creative Cloud).

The number of brine shrimp in each zone and for each increment in time for the three experiments were combined for comparisons between model cases and the agent based simulations. All experimental results were plotted in MATLAB.

2.6 Agent-based modeling (ABM) framework: Planktos

For this project, we make use of an in-house, open source, agent-based framework called Planktos that is capable of exploring emergent patterns of organisms in complex flow regimes based on individual-level behavioral rules.
Agent-based models (or individual-based models) are models in which individuals are described as autonomous entities which can then interact with each other and/or their environment. In this setting, simulated organisms can have adaptive behavior which can include continual adjustments in response to changes in their environment, the presence and behavior of other agents, or to their own internal states [41]. For this preliminary study, brine shrimp were assumed to move via a random walk such that their effective diffusivity was comparable to experimental data from Kohler et al. [40] and their mean drift corresponded to local flow velocity.

While a variety of software packages exist that provide comprehensive simulation environments for agent-based modeling [49], they tend to be for high-level, general use and are not well suited to the specific challenges of incorporating fluid-structure interaction data for swimmers in continuous 2D or 3D environments. *Planktos* is an object-oriented modeling environment specifically built for interfacing with 2D or 3D flow data, including time-varying flows. Custom agent behavior and response to flow gradients can be tested and visualized both quickly and easily, with 1D analytical flow fields available internally for environmental comparison[57].

Some of the capabilities of *Planktos* that we specifically make use of in our study of Artemia include

- VTK-based import of 2D or 3D time-dependent fluid flow data and flow interpolation between grid points
- Fluid flow tiling with periodic boundary conditions to expand domain
- Selection of boundary conditions for agents
- 2D and 3D plotting of agent movement, including summary statistics and density histograms

### 2.7 Agent-based simulation and experimental results

There are several challenges with directly comparing the experimental results with the agent-based simulations. It is difficult to track individual *Artemia* nauplii (≈ 1mm) as they move through a relatively large 3D volume of fluid (≈ 4000cm³). We are not able to track individuals throughout the duration of the experiment, but we are able to count the number of nauplii present in each zone in 5 second intervals. On the other hand, we can easily track individuals in the agent-based simulations. Because we know the location of each individual at any time, we can calculate the exact times that each individual arrives in the green and blue zones (e.g. the arrival times). In the experiments, we can only report how many individuals are present in the zone at each time, and these individuals may remain in the zone for several time increments. Furthermore, the amount of time individuals spend in the zone is proportional to the density of cylinders in the macrophyte models as this slows the local flow velocities. We report arrival times for ABM and the number of nauplii in each zone at each time increment for the experiments. Despite the differences in the data we are able to obtain from simulations and experiments, both metrics do reveal similar trends.

There are also some differences in parameter values describing the experiment and used in the simulations. For example, we do not know the number of brine shrimp injected into the flow tank experiments, which likely varied to some degree between experiments. For consistency, the agent-based simulations include 10,000 agents in each simulation. We estimate that each experiment included a couple thousand brine shrimp. We also do not know the effective diffusivity of the brine shrimp in each experiment. The nauplii were approximately 48 hours post fertilization, but there was some variation in incubation time and activity due to the time of day and treatment of the brine shrimp. In the agent based simulations, we always used the same diffusivity reported by Kohler et al., but for newly hatched nauplii, potentially of a different species and ordered from a different supplier. Finally, there were some variations in the volumetric flow rate of the experiments. The control dial was always set to the same setting, but the presence of models as well as their height and density changed the resistance to flow and the resulting flow speed. In the simulations, the peak inflow velocity was always set to 44 mm/s. For these reasons, we do not directly compare experiments and simulations, but look for similar trends in dispersal patterns.

### 3 Results

#### 3.1 Flow Fields

##### 3.1.1 Validation of COMSOL results
Figure 5: The $x$-component of the velocity as a function of height taken along a vertical line positioned in the middle of the model for the COMSOL simulation (solid line) and experiment (dashed line). Panel (a) shows the flow profiles with no model and panel (b) shows the profiles with the 10x20 2 cm model.

Particle image velocimetry was used to determine the flow field in the empty tank and around each of the models. The volumetric flux was used to set the appropriate boundary conditions for the COMSOL simulations. For the no model case, the parabolic inflow was used with a maximum velocity of 44 mm/s. For the 10x20 2 cm model, the maximum inflow velocity was set to 31.3 mm/s, as determined from calculating the volumetric flux from PIV data. Note that there was some variation in the volumetric flux between experiments due to the increased resistance to flow with larger models and due to variations in the motor output. Figure 5 shows the $x$-component of the velocity (in the direction of flow) as a function of height taken along a vertical line placed in the center of the model for the simulations (solid line) and experiments (dashed line). Figure 5a shows the results for the no model case. The simulations exhibit smooth flow typical of what would be expected in a channel for $Re \approx 10^2 - 10^3$. Similar flows were measured in the flow tank with some variations as a function of height. This is likely due to the action of the motor, the air-water interface at the top of the tank, etc. Figure 5b shows the velocity profile for the 10x20 model case. Both the simulation and experiment for the 10x20 model reveal considerably slower flows within the model with a rapid increase in velocity above the model.

3.1.2 Flow dependence on cylinder density and height

In this section, the maximum inflow velocity was set to 44 mm/s for all cases, and the results were used to generate a consistent flow field for the ABM simulations. Note that the stationary equations of fluid motion were solved to make both the CFD and the ABM simulations tractable. Figure 6 shows the velocity magnitude for the case of no model (i) and the 2 cm models with spacing set to 8x15 (ii), 10x20 (iii), and 15x30 (iv). Figure 6A shows the side view of the flow field where the velocity magnitude, $|U|$ in mm/s, is displayed in a vertical plane that cuts through the center of the flow tank. Figure 6B shows the velocity magnitude in a horizontal plane taken 1 cm above the bottom of the tank. By comparing i-iv, it is clear that increasing cylinder density has a strong effect on the magnitude of the flow between the cylinders. For the 8x15 (ii) and 10x20 (iii) models, there is some non-negligible flow through the first several rows of cylinders with little flow through the downstream half of the model. For the densest 15x30 model (iv), there is little flow through any of the rows of cylinders. The flow is also directed upwards such that there is a sheltered region extending about a half centimeter above the model. For all densities (ii-iv), a relatively long wake with slow flow is observed downstream of the models.
Figure 6: Velocity magnitude of the flow through models of varying cylinder density. A) The velocity magnitude in mm/s taken in a vertical plane tangential to the direction of flow and through the center of the tank, and B) the velocity magnitude in a horizontal plane 1 cm above the bottom of the tank. The models considered include no model (i), 8x15 cylinders (ii), 10x20 cylinders (iii), and 15x30 cylinders (iv).
Figure 7 shows the velocity magnitude for the 10x20 cylinder model with height set to 1 cm (i), 2 cm (ii), and 3 cm (iii). Figure 7A shows |$U$| taken in a vertical plane tangential to the direction of flow through the center of the tank, and Figure 7B shows |$U$| in a horizontal plane 1 cm above the bottom of the tank. Figure 7A demonstrates that the bulk flow is pushed upwards and to higher velocities as the height of the model is increased. There is some non-negligible flow through the first several rows of cylinders on the upstream side, but subsequent rows are predominantly sheltered from any significant flow. The height of the wake behind the cylinders decreases in proportion to the height of the cylinders. Inspection of Figure 7B shows that variation in flow magnitude 1 cm above the bottom of the tank is small between models of different heights. In all cases, there is some flow through the first several rows of cylinders that then tapers off towards the middle of the model.

![Figure 7: Velocity magnitude of the flow through models of varying cylinder height. A) The velocity magnitude in mm/s taken in a vertical plane through the center of the tank, and B) the velocity magnitude in a horizontal plane 1 cm above the bottom of the tank. The models considered include the 10x20 model with cylinder height equal to 1 cm (i), 2 cm (ii), and 3 cm (iii).](image)

3.2 Artemia Concentration over Time

Figure 8 displays the number of brine shrimp in the green zone (left) and blue zone (right) as a function of time. Recall the green zone is immediately downstream of the model and the blue zone is farther downstream. The cylinder heights are set to 1 cm (a,b), 2 cm (c,d), and 3 cm (e,f). In each graph, the three cylinder densities and the no model case are shown. Results were normalized by total counts of brine shrimp in the green and the blue zones. Without a model, the brine shrimp are simply advected along with the fluid with some additional random motion. This may be observed by the black line with the relatively narrow peak appearing about 8 seconds (green zone) and 15 seconds (blue zone) after the start of the experiment as well as its flat tail. Each graph also depicts the number of brine shrimp for the 8x15 (blue), 10x20 (red), and 15x30 (green) models. In general, increasing the density of cylinders acts to delay the presence of...
brine shrimp in each zone. In particular, increasing density results in a long tail such that some brine shrimp do not appear until well after the experiment has begun.

The effect of cylinder height is less clear. As found in the CFD results, the density has a much larger effect on the bulk flow through the model than does the height. To better see differences in the distributions of brine shrimp in each zone as a function of time, Figure 9 shows the time from the start of the experiment that the brine shrimp appear in the green (left) and blue (right zones). In other words, the mean (red dot), median (red line), upper quartile, lower
quartile, minimum and maximum of the distributions in Figure 8 are presented. Note that this is not equivalent to the arrival times presented in the next section. These plots describe the times when brine shrimp typically appear in each zone. The panels show cylinder heights set equal to 1 cm (a,b), 2 cm (c,d), and 3 cm (e,f). The x-axis shows increasing density of the models.

As the density of the cylinders increases, the median, mean and tail of the distribution of brine shrimp in the green zone increases. The trend is less apparent in the blue zone which is farther downstream. This is possibly due to enhanced mixing in all models due to the turbulent wake. Of note is the fact that the times when the brine shrimp appear in the green and blue zones for the densest 3 cm model have a much larger mean and median and a longer tail than the other cases. This may be due to the large sheltered region downstream of the brine shrimp in this model. In general, however, the effect of height is not as straightforward, likely due to the nonlinear and non-monotonic way that bulk flow depends on height and density of a porous region in a closed channel [58].

Figure 9: Box plots showing the mean (red dot), median (red line), upper and lower quartiles, minimum and maximum of the distributions shown in Figure 8. Note that these statistics describe the typical times from the start of the experiment when the brine shrimp appear in the green (left panels) and blue (right panels) zones. The panels show cylinder heights set equal to 1 cm (a,b), 2 cm (c,d), and 3 cm (e,f).
3.3 Shelter Effect of Macrophyte Models

As seen in Fig. 6 and 7, due to the slow down in flow past macrophyte models, some brine shrimp were able to overcome the flow velocity and remained at the bottom of the tank. This sheltered region of the flow can be observed immediately downstream of the model. Depending on the density and height of the model, the length of the sheltered region varied.

![Flow](image)

Figure 10: Sheltered region formed downstream of the macrophyte models. The red rectangle is a symbolic depiction of the sheltered region. This sheltered region begins at the downstream end of the macrophyte model and continuous downstream of the model. "L" is the length of the sheltered region, and it varies with the density and height of the macrophyte model.

The effect of the model height and density on the experimentally observed dimensions of sheltered region was not quantified systematically, but the length of the region was the most sensitive to changes in the macrophyte model parameters. The sheltered region spanned further downstream as the height and the density of the macrophyte model increased. This sheltering effect was the most significant for 15x30 3 cm model and was not observed for the empty flow tank case. Interestingly, no *Artemia* were able to stay within the macrophyte models throughout the entire duration of the experiments.

3.4 Agent Based Model Results

Table 1 and Table 2 show statistics for the timing of brine shrimp entering each of the green and blue zones respectively. Models are 1 cm, 2 cm, and 3 cm in height with densities set to 8x15, 10x20, and 15x30. A plate case (no model) is also shown. In each case, 10,000 agents were simulated with a starting position 1 mm behind the center of the model and 3 mm from the bottom of the simulated tank. From this point, each agent underwent unbiased Brownian motion with a variance of 2.5 mm²/sec while being advected by the flow. This number was based on the diffusion constant $D$ for brine shrimp reported in Fig. 5 and Table 2 (video data) in [40] ($D \approx 0.025$ cm²/sec). Variance is given as $2D$, but we halved the value to account for fully 3D movement as opposed to the largely 2D domain considered in [40]. Each simulation was run until all agents had entered both zones.

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Table 1: Statistics for the timing of brine shrimp agents entering the green zone by model. All values are given in units of seconds, and both skewness and kurtosis are calculated as Pearson standardized moments.
Table 2: Statistics for the timing of brine shrimp agents entering the blue zone by model. All values are given in units of seconds, and both skewness and kurtosis are calculated as Pearson standardized moments.

<table>
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<th>kurtosis</th>
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In all cases, the average arrival time increased with the density of the cylinders. Furthermore, the standard deviation of the arrival times was much larger for the densest 15x30 model. The relationship between arrival time and cylinder height was less obvious. This effect was also observed in the experiments, and it is worth noting that the flow velocities near where the nauplii were injected did not change appreciably with height. These trends are easily observed in Figure 11 which shows the average arrival time as a function of cylinder height. For any given cylinder height, the average arrival time increases with model density.

Figure 11: The average arrival time vs. cylinder height for agents in the simulations into the green zone (left) and blue zone (right).

4 Discussion

Predictive models of the dispersal of plankton whose trajectories are significantly determined by both the local fluid flow and their own behavior are important for many conservation applications. For example, high fidelity dispersal models of invasive species such as some bivalves [59, 60], starfish [61, 62] and copepods, for example, *Oithona davisae* [59], could focus removal efforts and inform the timing and location of the release of biocontrol agents. Models of the dispersal of the biocontrol agents themselves could allow more effective release of these organisms. In terms of the role of macrophytes and other protective structures in sheltering plankton, predictive models could inform the best placement and geometry of artificial reefs and could identify key locations where vegetation and reefs should be protected.

Work to leverage existing and further develop state-of-the-art numerical methods and mathematical analyses to reveal how organism swimming performance, behavior, and environment conditions alter dispersal and subsequent distributions of plankton have applications to multiple areas of biological oceanography and conservation. Using theoretically and experimentally determined estimates of thrust and mathematical models of behavior (including preferred dispersal conditions), scientists could predict and validate dispersal distributions across a variety of landscapes. Significant consideration needs to be given to the mathematical challenges in describing the dispersal of tiny organisms, including: (i) modeling techniques to connect dynamics at various spatial and temporal scales; (ii) data collection protocols and data accessibility; (iii) agent based models that incorporate animal behavior and swimming trajectories; and (iv) code
generation that is well-documented and generally applicable for both education and research. The primary goal of this paper was to document such an approach for a model organism in an idealized setting.

The results of this paper clearly show that both the average time to arrive in each zone and the variance depend strongly on the density of the macrophytes. This is primarily due to the strong nonlinear effect that cylinder density has on local flow speed as well as the region of flow that is sheltered downstream of the model. Cylinder height has a weaker effect on arrival times, likely due to the fact that the brine shrimp are injected near the bottom of the tank where differences in flow speeds are negligible. One exception to this is that the densest and tallest model greatly increased the time that brine shrimp arrived or appeared in the zone in both the experiments and the simulations. This is likely due to the large, sheltered region downstream of the model.

These results clearly demonstrated that the density of macrophytes, natural and artificial reefs, and other structures has a strong effect on plankton retention time. Since there is a strong nonlinear transition where such structures act as either nearly impermeable regions or porous layers with significant leakiness [63, 37], it is critical that sheltering structures be above this leaky-to-solid threshold. Furthermore, dense, tall structures may create slow flow regions downstream that could also shelter plankton.

Our results also suggest areas for future research. Our numerical simulations of the flow fields ignored unsteady effects that could either increase the spatial variance of the brine shrimp due to fluctuations in the velocity field or condense the spatial distribution given the effect of separatrices in the flow. It was also assumed that the brine shrimp move in a way that is well described as an unbiased random walk. It is known that nauplii use phototaxis and may avoid regions of strong flow or high shear. Any bias in the movement to swim together or apart would also alter their distributions. These higher order effects underscore the need for more complex models that consider both behavior and temporally varying flow fields.

We also introduce an open source, agent-based framework called Planktos to quantify emergent spatial and temporal patterns of plankton and other small organisms in complex flows based on individual-level behavioral rules. The unique feature of this open source platform is that the movement of agents is easily coupled to flow. This feature makes the software particularly suitable for modeling the movement of marine, aquatic, and aerial plankton across the scales of centimeters to tens of meters. Accordingly, the code should find immediate use in applications focused on resolving the movement of plankton within complex flow fields such as water flow through macrophyte beds and reefs. Massive agents may also be easily introduced to consider the dispersal of aerial plankton (small insects, spiders, etc.) due to winds through a crop field or forest patch.

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